

Efficient Reconstruction of Sequences

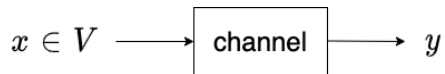
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Presented by Ruo-Chun Tzeng

IEEE Transactions on Information Theory (2001)

Motivation

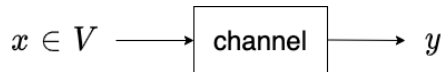
- ▶ Given a set V with minimum Hamming distance $2\tau + 1$.



- ▶ With 1 transmission of $x \in V$, $\leq \tau$ errors can be corrected.

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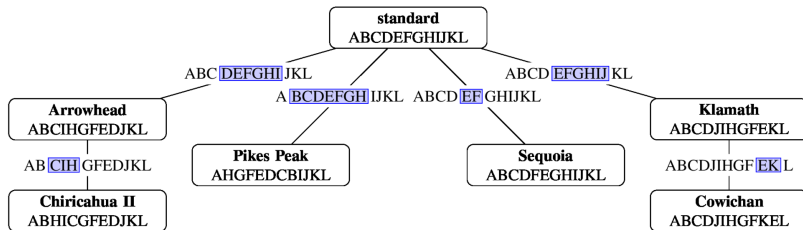
- ▶ Given a set V with minimum Hamming distance $2\tau + 1$.



- ▶ With 1 transmission of $x \in V$, $\leq \tau$ errors can be corrected.
- ▶ This paper studies the idea of correcting $> \tau$ errors by repeated transmissions of x .

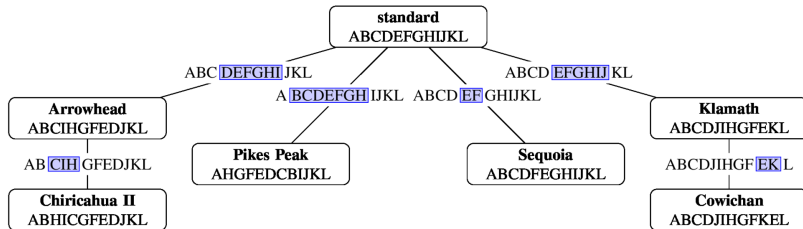
Motivation

- ▶ The problem of reconstructing the unknown x from N of its **distorted sequences**, $y^{(1)}, \dots, y^{(N)}$, has many applications, e.g., DNA ancestral reconstruction:



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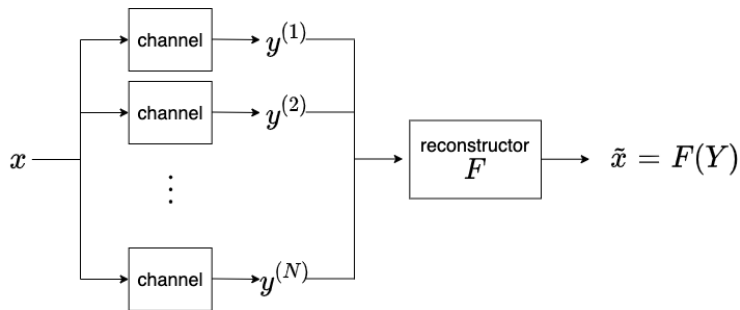
Question

- (1) What is the minimum value of N for reconstruction?
- (2) How to efficiently reconstruct x from $y^{(1)}, \dots, y^{(N)}$?

Outline

- ▶ Communication model
- ▶ Combinatorial channels ($\leq t$ errors in 1 transmission)
 - ▶ The idea for exact reconstruction
 - ▶ Recent trends
- ▶ Probabilistic channels
 - ▶ Discrete memoryless channel
 - ▶ Recent trends
- ▶ Conclusion

Communication model



- ▶ Assume $x \in V = A_q^n$ and $Y = [y^{(1)}, \dots, y^{(N)}]$ where each $y^{(i)} \in A_r^m$.
- ▶ Measure accuracy by Hamming distance $d_H(x, F(Y)) \leq d$.

Combinatorial channel

- ▶ (n, t) -combinatorial channel: has $\leq t$ *single errors* from H in 1 transmission
 - ▶ H : the set of all single errors of the **same type**

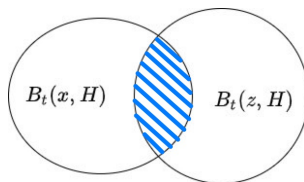
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- ▶ **Idea:** $N = N_H(V, t) + 1$ for *exact reconstruction* from *distinct* $y^{(1)}, \dots, y^{(N)}$, where

$$N_H(V, t) := \max_{v, z \in V, v \neq z} |B_t(v, H) \cap B_t(z, H)|. \quad (1)$$



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- ▶ (n, t) -substitution channel:

- ▶ $N_H(V, t) = q \sum_{i=0}^{t-1} \binom{n-1}{i} (q-1)^i$
- ▶ $F(Y)$ exactly recovers $x_i = \text{majority}(y_i^{(1)}, \dots, y_i^{(N)})$

Lemma $\forall a \in A_q, a \neq x_i, |\{v \in B_t(x, H) : v_i = a\}| \leq \sum_{j=0}^{t-1} \binom{n-1}{j} (q-1)^j.$

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Table: Exact reconstruction results for (n, t) -combinatorial channel. All require $N = n^{\Omega(t)}$.

error-type	case	reconstructor F
substitution	all	majority
transposition	$q = 2$	thresholding
insertion	exactly t errors	[10]
deletion	exactly t errors	[10]

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- Graph-theoretic approach [11, 15] generalizes to the problem of reconstruction within $d_H(x, F(Y)) \leq d$.

Combinatorial channel: recent trends

- ▶ Exact reconstruction for $x \in V \subseteq A_q^n$:
 - ▶ [14, 13] - insertion errors in insertion/deletion-correcting code
 - ▶ [5] - deletion errors in single-deletion code
- ▶ Practical limit on the # of repeated transmissions \tilde{N} :
 - ▶ [8] - list-decoding in the regime when $\tilde{N} < N$
 - ▶ [9, 4] - design of codebook V such that $N < \tilde{N}$
- ▶ Combination of different types of errors [3]
- ▶ Exact reconstruction in non-identical channels [7]

Probabilistic channel: discrete memoryless channel

- ▶ Given a DMC C with transition probability $P_C \in [0, 1]^{q \times q}$ and $\delta > 0, d \geq 0$.
- ▶ Find the smallest $N = N_C(n, d, \delta)$ and a reconstructor F such that for any Y ,

$$\mathbb{P}(d_H(x, F(Y)) \leq d) \geq 1 - \delta.$$

Probabilistic channel: discrete memoryless channel

- ▶ **Theorem** Let $\delta = \delta(n) > 0$ and $d = d(n) \geq 0$ be such that $\delta \rightarrow 0, d/n \rightarrow 0$ as $n \rightarrow \infty$. Then, as $n \rightarrow \infty$,

$$N_C(n, d, \delta) \rightarrow \frac{\ln \frac{n}{d+1} + \frac{\ln \delta^{-1}}{d+1}}{\ln \alpha^{-1}},$$

where $\alpha \in (0, 1)$ depends only on P_C .

- ▶ Comparison with (n, t) -substitution channel:
 - ▶ (Combinatorial) Exact reconstruction for $t = \Theta(n)$: $N = n^{\Omega(n)}$.
 - ▶ (Probabilistic) Exact reconstruction succeeds w.p. $\geq 1 - \delta$: $N = \Theta(\ln n)$.

Probabilistic channel: recent trends

- ▶ The state-of-the-art result of deletion channel (a.k.a. trace reconstruction) for $q = 2$:

	lowerbound	upperbound
worst-case ¹	$\tilde{\Omega}(n^{3/2})$ [2]	$e^{\tilde{O}(n^{1/5})}$ [1]
average-case ²	$\Omega(\frac{\ln^{5/2} n}{(\ln \ln n)^7})$ [2]	$e^{\mathcal{O}(\ln^{1/3} n)}$ [6]

- ▶ Circular trace reconstruction [12]

¹Worst-case guarantee: reconstruction in high probability for any $x \in A_2^n$

²Average-case guarantee: reconstruction in high probability for x drawn uniformly from A_2^n

Conclusion

- ▶ This paper initiated the study of the problem of efficient sequence reconstruction which naturally arises in many fields.
- ▶ For both combinatorial and probabilistic channels, the proposed approach has inspired many future works and leaves many open problems.

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